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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tetsuzo MIKI, et al.

SERIAL NO: 10/594,273

FILED: September 26, 2006

FOR: CARBAZOLE DERIVATIVE CONTAINING FLUORENE GROUP AND ORGANIC ELECTROLUMINESCENT ELEMENT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. 296975US0X PCT	SERIAL NO. 10/594,273
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Tetsuzo MIKI, et al.	GROUP
		FILING DATE September 26, 2006	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
/BAC/	AO	2004-91350	03/25/04	JAPAN w/English Abstract		
	AP	2004-83483	03/18/04	JAPAN w/English Abstract		
	AQ	2003-128651	05/08/03	JAPAN w/English Abstract		
	AR	2001-39933	02/13/01	JAPAN w/English Abstract		
	AS	2000-327639	11/28/00	JAPAN w/English Abstract		
	AT	2005-104971	04/21/05	JAPAN w/English Abstract		
	AU	2005-085599	03/31/05	JAPAN w/English Abstract		
	AV	08/048656	02/20/96	JAPAN w/English Abstract		

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

/BAC/	AW	Applied Physics Letters, Vol. 75, No. 1 (1999) "Very High-Efficiency Green Organic Light-Emitting Devices Based on Electrophosphorescence" M. A. Baldo, pages 4-6				
/BAC/	AX	M & BE Association, Vol. 11, No. 1 pages 32-41				
	AY					
	AZ					<input type="checkbox"/> Additional References sheet(s) attached

Examiner /Brett A. Crouse/

Date Considered 06/04/2010

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.